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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 104017.166)

In re Application of: Kittredge et al.

Examiner: Not Yet Assigned

Serial No: 10/040,041

Group Art Unit: 2151

Filed: December 31, 2001

For: WEB-BASED SYSTEM AND METHOD

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date:

5/24/02

Heather May

Heather May

**BOX MISSING PARTS**

Assistant Commissioner for Patents

Washington, D.C. 20231

**RESPONSE TO SECOND NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated May 16, 2002, Applicants submit the following:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application dated May 16, 2002;
2. Copy of the Response to Notice to File Missing Parts of Nonprovisional Application filed with the U.S. Patent and Trademark Office on May 14, 2002 which included:
  - a) Copy of the Response to Notice to File Missing Parts of Nonprovisional Application dated February 7, 2002;
  - b) Request for Extension of Time (37 CFR 1.136(a));
  - c) Executed Declaration and Power of Attorney;
  - d) Four (4) Sheets of Formal Drawings (Figs. 1-4) with appropriate margins;
  - e) Payment of Surcharge Fee of \$65.00 and Extension of Time Fee of \$195.00;
  - f) Certificate of Mailing; and
  - g) Return postcard.

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Because all of the "missing parts" of the application listed in the Notice to File Missing Parts dated May 16, 2002 were filed with the Response to Notice to File Missing Parts filed with the U.S. Patent and Trademark Office on May 14, 2002, only copies of the documents are enclosed with this submission.

Respectfully submitted,

A handwritten signature in black ink that reads "Valerie J. Foran".

Valerie J. Foran  
Paralegal  
617-526-5138  
valerie.foran@haledorr.com



THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 104017.166)

In re Application of: Kittredge et al. ) Examiner: Not Yet Assigned  
Serial No: 10/040,041 ) Group Art Unit: 2151  
Filed: December 31, 2001 )  
For: WEB-BASED SYSTEM AND METHOD )

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Date: 5/14/02

Heather May  
Heather May

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed for filing in the above-identified patent application is a Response to Notice to File Missing Parts of Nonprovisional Application, Filed Under 37 CFR 1.53(b) with a Request for Extension of Time (37 CFR 1.136(a)).

Please charge any fees due in connection with this matter, or credit any overpayment to Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Wayne M. Kennard  
Wayne M. Kennard, Reg. No. 30,271  
Attorney/Agent for Applicants

Date: 5-14-02

HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
(617) 526-5000 (Facsimile)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 104017.166)

In re Application of: Kittredge et al.

Examiner: Not Yet Assigned

Serial No: 10/040,041

Group Art Unit: 2151

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Date: 5/14/02

Heather May  
Heather May

\*\*\*\*\*

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated February 7, 2002, Applicants submit the following:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application dated February 7, 2002;
2. Request for Extension of Time (37 CFR 1.136(a));
3. Executed Declaration and Power of Attorney;
4. Four (4) Sheets of Formal Drawings (Figs. 1-4) with appropriate margins;
5. Certificate of Mailing; and
4. Return postcard.

The surcharge fee of \$65.00 and the \$195.00 fee for two months extension of time are both to be charged to Deposit Account No. 08-0219. Please also charge any additional fees that might be due to Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Wayne M. Kennard  
Wayne M. Kennard, Reg. No. 30,271  
Attorney/Agent for Applicants

Date: 5-14-02  
HALE AND DORR LLP  
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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,041	12/31/2001	Todd H. Kittredge	104017.166

CONFIRMATION NO. 1836

FORMALITIES LETTER



\*OC000000007440455\*

Wayne M. Kennard  
Hale and Dorr LLP  
60 State Street  
Boston, MA 02109

Date Mailed: 02/07/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,041	12/31/2001	Todd H. Kittredge	104017.166

Wayne M. Kennard  
Hale and Dorr LLP  
60 State Street  
Boston, MA 02109

CONFIRMATION NO. 1836

## FORMALITIES LETTER



\*OC000000008135596\*

Date Mailed: 05/16/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE